REQUEST FOR INCLUSION IN THE LIST OF COUNTRIES TO WHICH THE EXPORT FROM THE EUROPEAN UNION OF NON-HAZARDOUS WASTES AND MIXTURES OF NON-HAZARDOUS WASTES DESTINED FOR RECOVERY IS AUTHORISED

This request form is included in Annex VIII of Regulation (EU) 2024/1157 on shipments of waste[[1]](#footnote-2) (‘WSR’) with regard to non-OECD countries seeking to import non-hazardous waste from the EU.

The European Commission is empowered to adopt a delegated act in accordance with Article 80 of the WSR to supplement this Regulation by establishing a list of countries to which the OECD Decision on the Control of Transboundary Movements of Wastes Destined for Recovery Operations (OECD/LEGAL/0266) does not apply (henceforth: non-OECD countries) and to which exports of non-hazardous wastes and mixtures of non-hazardous wastes from the European Union for recovery are authorised pursuant to Article 41.

Applicant countries shall submit this request to the European Commission indicating their willingness to receive those specific wastes or mixtures of wastes and to be included in the list of countries to which exports of non-hazardous waste from the European Union for recovery are authorised. This list shall include countries which have demonstrated compliance with the requirements set out in Article 42(3) of the WSR, based on an assessment carried out by the European Commission pursuant to Article 43, and which have agreed to comply with Article 42(5).

In order to be included in the first list of non-OECD countries to which export of EU waste is authorised, this request must be submitted by 21 February 2025, i.e. within 9 months after the date of entry into force of this Regulation, A first list of authorised countries will be established by the European Commission by 21 November 2026. This list will be updated regularly, and at least every two years.

Please make sure that all sections are completed accurately and provide as much detail as possible. Guidance notes are provided for most of the requested information at the end of the form. For a comprehensive understanding and further details, please refer to the text of the WSR and in particular to Articles 41, 42 and 43 and Annexes VIII and IX, available [here](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32024R1157).

**Part 1**

# **Request to receive non-hazardous waste and mixtures of non-hazardous wastes from the European Union**

• Country Name: …………………………

• Competent Authority: …………………………

• Contact Person: …………………………

• Position: …………………………

• Address: …………………………

• Phone Number: …………………………

• Email Address: …………………………

• Date of Submission: …………………………

Please fill out and sign the declaration below.

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| *Hereby, (name and contact details of competent authority)* *…………………………., on behalf of (country) ....................................................... (hereafter ‘the country’) declares that the country wishes to receive the non-hazardous wastes and mixtures of non-hazardous wastes specified in Part 2, point 1, of this request from the European Union and declares that the country has an adequate waste management regulatory framework and strategy in place, and is taking all necessary implementation and enforcement measures to manage the waste(s) concerned without endangering human health and in an environmentally sound manner in accordance with Article 59 of this Regulation.*  Place: …………………… Date: ……………………. Signature: …………………………… |

**Part 2**

**Information and supporting evidence**

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| 1. List of wastes covered by the request |
| |  |  |  | | --- | --- | --- | | Description of the non-hazardous wastes or  mixtures of non-hazardous  wastes | Waste identification code[[2]](#footnote-3) | For waste listed in  Annex IX of the Basel  Convention, indicate  whether the procedure of  prior written notification  and consent should apply by filling in “Yes” | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |
| 1. Please provide a detailed description of the national waste management strategy or plan of the country, including the following elements: 2. The annual quantity of total waste generated in the country, as well as the annual quantity of waste covered by the scope of this request (“waste concerned by the request”) generated in the country and how these quantities are estimated to develop in the next 10 years;  |  | | --- | |  |  1. an estimation of the country’s current treatment capacity for waste in general, as well as an estimation of the country’s treatment capacity for the waste concerned by the request, and how these capacities are estimated to develop in the next 10 years;  |  | | --- | |  |  1. the proportion of domestically generated waste that is separately collected, as well as possible objectives and measures to increase this rate in the future. Please provide this information for each of the most important types of domestic waste;  |  | | --- | |  |  1. the proportion of domestic waste(s) concerned by the request that is landfilled, as well as possible objectives and measures to decrease this rate in the future;  |  | | --- | |  |  1. the proportion of domestic waste(s) concerned by the request that is recycled, and any objectives and measures to increase this rate in the future;  |  | | --- | |  |  1. information on the quantity of waste that is littered and on measures taken to prevent and clean up litter;  |  | | --- | |  |  1. a strategy on how to ensure the environmentally sound management of waste imported into its territory, including the possible impact of the management of imported waste on the management of waste generated domestically;  |  | | --- | |  |  1. information on the methodology used to calculate the data referred to in points (a) to (f).  |  | | --- | |  | |
| 1. Please provide a description of domestic legal framework for waste management in place, including at least the following elements: 2. permitting, licensing or registration system(s) for waste treatment facilities;  |  | | --- | |  |  1. permitting, licensing or registration system(s) for transport of waste;  |  | | --- | |  |  1. provisions designed to ensure that the residual waste generated through the recovery operation for the waste(s) concerned is managed in an environmentally sound manner;  |  | | --- | |  |  1. pollution controls applying to waste treatment operations, including in particular emission limits for the protection of air, soil and water and measures to reduce the emissions of greenhouse gases from these operations;  |  | | --- | |  |  1. provisions on enforcement, inspection and penalties designed to ensure the implementation of domestic and international requirements on waste management and shipment of waste.  |  | | --- | |  | |
| 1. Please provide a description of any other related legislation on the protection of the environment and human health applicable to waste management operations.  |  | | --- | |  | |
| 1. Please provide a description of the domestic legislation on the import and export of the waste concerned by the request, and in particular on any specific control procedure applying to such import or export, such as prior written notification and consent as referred to in Article 6 of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.  |  | | --- | |  | |
| 1. Please provide a list of facilities authorised under the domestic legislation of the   country to recover the waste concerned by the request (including at least the name and address of these facilities, their permit number, the types of non-hazardous wastes or mixtures of non-hazardous wastes that they are authorised to recover and their authorised treatment capacity). This should preferably be provided through a website link where information on the concerned facilities is publicly and electronically accessible (e.g. website link of the competent authority).   |  | | --- | |  | |
| 1. Please provide information on the status of the country with regard to its status of ratification to the following multilateral environmental agreements:  |  |  | | --- | --- | | [Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal](https://www.basel.int/TheConvention/Overview/tabid/1271/Default.aspx) | Ratified: yesno | | [Stockholm Convention on Persistent Organic Pollutants](https://www.pops.int/) | Ratified: yes no  In case not ratified:  Signed: yesno | | [Minamata Convention on Mercury](https://minamataconvention.org/en) | Ratified: yes no  In case not ratified:  Signed: yesno | | [UN Framework Convention on Climate Change](https://unfccc.int/) | Ratified: yes  no | | [Paris Agreement](https://unfccc.int/sites/default/files/resource/parisagreement_publication.pdf) | Ratified: yes  no  In case not ratified:  Signed: yes  no | | [Montreal Protocol on substances that deplete the ozone layer](https://ozone.unep.org/treaties/montreal-protocol) | Ratified: yes  no | | [Freedom of Association and Protection of the Right to Organise Convention, 1948 (No. 87)](https://normlex.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_INSTRUMENT_ID:312232) | Ratified: yes  no | | [Right to Organise and Collective Bargaining Convention, 1949 (No. 98)](https://normlex.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_Ilo_Code:C098) | Ratified: yes  no | | [Abolition of Forced Labour Convention, 1957 (No. 105)](https://normlex.ilo.org/dyn/normlex/en/f?p=1000:12100:0::NO::P12100_ILO_CODE:C105) | Ratified: yes  no | | [Minimum Age Convention, 1973 (No. 138)](https://normlex.ilo.org/dyn/normlex/en/f?p=normlexpub:12100:0::no::P12100_ilo_code:C138) | Ratified: yes  no | | [Worst Forms of Child Labour Convention, 1999 (No. 182)](https://normlex.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C182) | Ratified: yes  no | | [Equal Remuneration Convention, 1951 (No. 100)](https://normlex.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_Ilo_Code:C100) | Ratified: yes  no | | [Discrimination (Employment and Occupation) Convention, 1958 (No. 111)](https://normlex.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_Ilo_Code:C111) | Ratified: yes  no | | [Forced Labour Convention, 1930 (No.29)](https://normlex.ilo.org/dyn/normlex/en/f?p=1000:12100:0::NO::P12100_ILO_CODE:C029) | Ratified: yes  no | |
| 1. Please provide a description of how the country complies with its obligations under the multilateral agreements listed in point 7, in particular as regards the relevant reporting obligations thereof.  |  | | --- | |  | |
| 1. Please provide a description of how the Framework for the environmentally sound management (ESM) of hazardous wastes and other wastes, technical guidelines and other guidance on the environmentally sound management of waste adopted under the Basel Convention are taken into consideration in the regime for the management of the waste concerned by the request.  |  | | --- | |  | |
| 1. Please provide a detailed description of the country’s strategy for enforcement of domestic legislation on waste management and waste shipment, covering in particular control and monitoring measures, including information on the number of inspections of shipments of waste and of waste management facilities carried out and on penalties imposed in cases of infringements to the relevant domestic rules.  |  | | --- | |  | |

This request must be submitted electronically to the following email address:

e-mail: [ENV-WASTE-SHIPMENTS@ec.europa.eu](mailto:ENV-WASTE-SHIPMENTS@ec.europa.eu)

or by registered post to the following address:

European Commission

DG Environment – Unit B3

Avenue d’Auderghem 19

1040 Brussels

BELGIUM

e-mail: [ENV-WASTE-SHIPMENTS@ec.europa.eu](mailto:ENV-WASTE-SHIPMENTS@ec.europa.eu)

This request and all related documentation or other communication shall be provided in **English language or with a translation in English**.

**Guidance Notes**

The request and all related documentation or other communication shall be provided in English language or with a translation in English.

**Part 1: Request to receive non-hazardous waste and mixtures of non-hazardous wastes from the European Union**

1. Please provide the contact information of the Competent Authority and the individual responsible for this request. For the purposes of this request, and consistent with the Regulation[[3]](#footnote-4), it is suggested that the 'Competent Authority' is the body designated by that country as the competent authority for the purposes of the Basel Convention in accordance with Article 5 thereof. A country can however decide that the competent authority for this request is another one.
2. The request must be made at the country level. However, it is useful to include information about possible other competent authorities for the Basel Convention/transboundary movement of waste in your country and how they interrelate.

**Part 2: Information and Supporting Evidence**

Please submit your supporting evidence in the provided text boxes. If you wish to provide further supporting information/documents, you may attach additional files. Should you choose to attach files, please reference them in the corresponding sections of the document.

1. **Guide to Section 1**. List of wastes covered by the request: please provide detailed descriptions and the corresponding waste identification codes for each non-hazardous type of waste or mixture of non-hazardous wastes that your country is willing to import from the EU. For waste listed in Annex IX of the Basel Convention, specify per type of waste if the prior written notification and consent procedures apply from your perspective.
2. **Guide to Sections 2 to 5**: Please provide information and evidence to demonstrate that your country has a comprehensive waste management strategy or plan. This may involve one or more waste management strategies or plans which must, alone or in combination, cover the entire geographical territory of your country. If waste management rules are detailed in regional or local government regulations, these regulations should be referenced, along with an explanation of how they are interconnected. Information about these strategies or other relevant legislation (sections 2(g), 4, and 5) can be shared through a web link, by attaching the text, or by providing a description of the strategy or legislation.
3. **Guide to Section 6**: In this section, please provide a detailed list of facilities within your country that are authorized to recover the waste streams concerned by the request. This list is essential to demonstrate your country's ability to handle waste in an environmentally sound manner. This information should preferably be provided through a website link where information on the concerned facilities is publicly and electronically accessible (e.g. website link of the competent authority). If some data are not publicly accessible due to confidentiality restrictions under your country's national law, please indicate that these details cannot be disclosed. At a minimum, the list should include the name and address of these facilities, their permit number, the types of non-hazardous wastes or mixtures of non-hazardous wastes that they are authorised to recover and their authorised treatment capacity.
4. **Guide to Section 8**: For each agreement that your country has signed or ratified, as indicated in Section 7, please provide a description of how your country has implemented and complies with the obligations of each agreement. Also, describe how your country meets the reporting obligations specified in these agreements. You are encouraged to include web links and documents that provide evidence of this.
5. **Guide to Section 9**: Please refer to any other international law or guidance document that you deem relevant to demonstrate that your country has implemented the appropriate measures for the environmentally sound management of the waste concerned by the request.
6. **Guide to Section 10**: Please focus your replies on the operational aspects of enforcing rules related to waste management, waste movement, and environmental protection. This should include a description of the penalties for environmental and waste-related crimes and infringements, the number of inspections, and statistics on the number of detected infringements and penalties.

1. Regulation (EU) 2024/1157 of the European Parliament and of the Council of 11 April 2024 on shipments of waste, amending Regulations (EU) No 1257/2013 and (EU) 2020/1056 and repealing Regulation (EC) No 1013/2006Text with EEA relevance. [↑](#footnote-ref-2)
2. *Codes used in Annex IX of the Basel Convention or, if the waste is not listed in that Annex, (1) waste identification codes referred to in Annexes III Part II, Annex IIIA or Annex IIIB of Waste Shipment Regulation, or, if the waste is not listed in these Annexes, waste identification codes for non-hazardous waste in the list of waste referred to in Article 7 of Directive 2008/98/EC, or (2) national codes in the country.* [↑](#footnote-ref-3)
3. See Article 3(9) of the Waste Shipment Regulation [↑](#footnote-ref-4)